

National Marine Fisheries Service/NOAA, Commerce**§ 260.61****§ 260.50 Suspension or revocation of license of licensed sampler or licensed inspector.**

Pending final action by the Secretary, the Director may, whenever he deems such action necessary, suspend the license of any licensed sampler, or licensed inspector, issued pursuant to the regulations in this part, by giving notice of such suspension to the respective licensee, accompanied by a statement of the reasons therefor. Within 7 days after the receipt of the aforesaid notice and statement of reasons by such licensee, he may file an appeal, in writing, with the Secretary supported by any argument or evidence that he may wish to offer as to why his license should not be suspended or revoked. After the expiration of the aforesaid 7 day period and consideration of such argument and evidence, the Secretary shall take such action as he deems appropriate with respect to such suspension or revocation.

§ 260.51 Surrender of license.

Upon termination of his services as a licensed sampler or licensed inspector, or suspension or revocation of his license, such licensee shall surrender his license immediately to the office of inspection serving the area in which he is located. These same provisions shall apply in a case of an expired license.

SAMPLING**§ 260.57 How samples are drawn by inspectors or licensed samplers.**

An inspector or a licensed sampler shall select samples, upon request, from designated lots of processed products which are so placed as to permit thorough and proper sampling in accordance with the regulations in this part. Such person shall, unless otherwise directed by the Secretary, select sample units of such products at random, and from various locations in each lot in such manner and number, not inconsistent with the regulations in this part, as to secure a representative sample of the lot. Samples drawn for inspection shall be furnished by the applicant at no cost to the Department.

§ 260.58 Accessibility for sampling.

Each applicant shall cause the processed products for which inspection is requested to be made accessible for proper sampling. Failure to make any lot accessible for proper sampling shall be sufficient cause for postponing inspection service until such time as such lot is made accessible for proper sampling.

§ 260.59 How officially drawn samples are to be identified.

Officially drawn samples shall be marked by the inspector or licensed sampler so such samples can be properly identified for inspection.

§ 260.60 How samples are to be shipped.

Unless otherwise directed by the Secretary, samples which are to be shipped to any office of inspection shall be forwarded to the office of inspection serving the area in which the processed products from which the samples were drawn is located. Such samples shall be shipped in a manner to avoid, if possible, any material change in the quality or condition of the sample of the processed product. All transportation charges in connection with such shipments of samples shall be at the expense of the applicant and wherever practicable, such charges shall be prepaid by him.

§ 260.61 Sampling plans and procedures for determining lot compliance.

(a) Except as otherwise provided for in this section in connection with in-plant inspection and unless otherwise approved by the Secretary, samples shall be selected from each lot in the exact number of sample units indicated for the lot size in the applicable single sampling plan or, at the discretion of the inspection service, any comparable multiple sampling plan: *Provided*, That at the discretion of the inspection service the number of sample units selected may be increased to the exact number of sample units indicated for any one of the larger sample sizes provided for in the appropriate plans.

(b) Under the single sampling plans with respect to any specified requirement:

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(1) If the number of deviants (as defined in connection with the specific requirements) in the sample does not exceed the acceptance number prescribed for the sample size the lot meets the requirement;

(2) If the number of deviants (as defined in connection with the specific requirement) in the sample exceeds the acceptance number prescribed for the sample size the lot fails the requirement.

(c) Under the multiple sampling plans inspection commences with the smallest sample size indicated under the appropriate plan and with respect to any specified requirement:

(1) If the number of deviants (as defined in connection with the specific requirement) in the sample being considered does not exceed the acceptance number prescribed for that sample size the lot meets the requirement;

(2) If the number of deviants (as defined in connection with the specific requirement) in the sample being considered equals or exceeds the rejection number prescribed for that sample size the lot fails the requirement; or

(3) If the number of deviants (as defined in connection with the specific requirement) in the sample being considered falls between the acceptance and rejection numbers of the plan, additional sample units are added to the sample so that the sample thus cumulated equals the next larger cumulative sample size in the plan. It may then be determined that the lot meets or fails the specific requirement by considering the cumulative sample and applying the procedures outlined in paragraphs (c)(1) and (2) of this section or by considering successively larger samples cumulated in the same manner until the lot meets or fails the specific requirement.

(d) If in the conduct of any type of in-plant inspection the sample is exam-

ined before the lot size is known and the number of sample units exceeds the prescribed sample size for such lot but does not equal any of the prescribed larger sample sizes the lot may be deemed to meet or fail a specific requirement in accordance with the following procedure:

(1) If the number of deviants (as defined in connection with the specific requirement) in the nonprescribed sample does not exceed the acceptance number of the next smaller sample size the lot meets the requirements;

(2) If the number of deviants (as defined in connection with the specific requirement) in the nonprescribed sample equals the acceptance number prescribed for the next larger sample size additional sample units shall be selected to increase the sample to the next larger prescribed sample size;

(3) If the number of deviants (as defined in connection with the specific requirement) in the nonprescribed sample exceeds the acceptance number prescribed for the next larger sample size the lot fails the requirement.

(e) In the event that the lot compliance determination provisions of a standard or specification are based on the number of specified deviations instead of deviants the procedures set forth in this section may be applied by substituting the word "deviation" for the word "deviant" wherever it appears.

(f) Sampling plans referred to in this section are those contained in Tables I, II, III, IV, V, and VI which follow or any other plans which are applicable. For processed products not included in these tables, the minimum sample size shall be the exact number of sample units prescribed in the table, container group, and lot size that, as determined by the inspector, most closely resembles the product, type, container size and amount of product to be samples.

TABLE I—CANNED OR SIMILARLY PROCESSED FISHERY PRODUCTS, AND PRODUCTS THEREOF CONTAINING UNITS OF SUCH SIZE AND CHARACTER AS TO BE READILY SEPARABLE

| Container size group | Lot size (number of containers) | | | |
|--|---------------------------------|--------------|---------------|-------------------------------------|
| GROUP 1 Any type of container of less volume than that of a No. 300 size can (300×407) | 3,601 or less | 3,601–14,400 | 14,401–48,000 | 48,001–96,000 96,001– 156,000 |
| | | | | 156,001– 228,000 |
| | | | | 228,001–300,000 |
| | | | | 300,001–420,000 |
| | | | | Over 420,000 |
| GROUP 2 Any type of container of a volume equal to or exceeding that of a No. 300 size can, but not exceeding that of a No. 3 cylinder size can (404×700) | 2,400 or less | 2,401–12,000 | 12,001–24,000 | 24,001–48,000 48,001–72,000 |
| | | | | 72,001–108,000 |
| | | | | 108,001–168,000 |
| | | | | 168,001–240,000 |
| | | | | Over 240,000 |
| GROUP 3 Any type of container of a volume exceeding that of a No. 3 cylinder size can, but not exceeding that of a No. 12 size can (603×812) | 1,200 or less | 1,201–7,200 | 7,201–15,000 | 15,001–24,000 |
| | | | | 24,001–36,000 |
| | | | | 36,001–60,000 |
| | | | | 60,001–84,000 |
| | | | | 84,001–120,000 |
| | | | | Over 120,000 |
| GROUP 4 Any type of container of a volume exceeding that of a No. 12 size can, but not exceeding that of a 5-gallon container | 200 or less | 201–800 | 801–1,600 | 1,601–2,400 |
| | | | | 2,401–3,600 |
| | | | | 3,601–8,000 |
| | | | | 8,001–16,000 |
| | | | | 16,001–28,000 |
| | | | | Over 28,000 |

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TABLE I—CANNED OR SIMILARLY PROCESSED FISHERY PRODUCTS, AND PRODUCTS THEREOF CONTAINING UNITS OF SUCH SIZE AND CHARACTER AS TO BE READILY SEPARABLE—Continued

| Container size group | Lot size (number of container(s)) | | | | | |
|---|-----------------------------------|-------|--------|---------|---------|---------------------------|
| GROUP 5 Any type of container of a volume exceeding that of a 5-gallon container | 25 or less | 26-80 | 81-200 | 201-400 | 401-800 | 801-1,200 |
| | | | | | | 1,201-3,200 Over 3,200 |

SINGLE SAMPLING PLANS 1

| | | | | | | | | | |
|---|---|---|----|----|----|----|----|----|----|
| Sample size (number of sample units) ² | 3 | 6 | 13 | 21 | 29 | 38 | 48 | 60 | 72 |
| Acceptance number | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |

¹ For extension of the single sample sizes beyond 72 sample units, refer to table V of this section; for multiple sampling plans comparable to the various single sampling plans refer to table VI of this section.

² The sample units for the various container size groups are as follows: Groups 1, 2, and 3—1 container and its entire contents. Groups 4 and 5—approximately 2 pounds of product. When determined by the inspector that a 2-pound sample unit is inadequate, a larger sample unit may be substituted.

TABLE II—FROZEN OR SIMILARLY PROCESSED FISHERY PRODUCTS, AND PRODUCTS THEREOF CONTAINING UNITS OF SUCH SIZE AND CHARACTER AS TO BE READILY SEPARABLE

| Container size group | Lot size (number of container(s)) | | | | | | | | |
|--|-----------------------------------|---------------|---------------|---------------|----------------|-----------------|-----------------|-----------------|--------------|
| GROUP 1 Any type of container of 1 pound or less net weight | 2,401-12,000 | 12,001-24,000 | 24,001-48,000 | 48,001-72,000 | 72,001-108,000 | 108,001-168,000 | 168,001-240,000 | Over 240,000 | |
| GROUP 2 Any type of container over 1 pound but not over 4 pounds net weight | 1,800 or less | 1,801-8,400 | 8,401-18,000 | 18,001-36,000 | 36,001-60,000 | 60,001-96,000 | 96,001-132,000 | 132,001-168,000 | Over 168,000 |
| GROUP 3 Any type of container over 4 pounds but not over 10 pounds net weight | 900 or less | 901-3,600 | 3,601-10,800 | 10,801-18,000 | 18,001-36,000 | 36,001-60,000 | 60,001-84,000 | 84,001-120,000 | Over 120,000 |

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|---|-------------|---------|-----------|-------------|-------------|-------------|--------------|---------------|-------------|
| GROUP 4 Any type of container over 10 pounds but not over 100 pounds net weight | 200 or less | 201-800 | 801-1,600 | 1,601-2,400 | 2,401-3,600 | 3,601-8,000 | 8,001-16,000 | 16,001-28,000 | Over 28,000 |
| GROUP 5 Any type of container over 100 pounds net weight | 25 or less | 26-80 | 81-200 | 201-400 | 401-800 | 801-1,200 | 1,201-2,000 | 2,001-3,200 | Over 3,200 |

Single sampling plans¹

| Sample size (number of sample units) ² | 3 | 6 | 13 | 21 | 29 | 38 | 48 | 60 | 72 |
|---|---|---|----|----|----|----|----|----|----|
| Acceptance number | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |

¹ For extension of the single sample sizes beyond 72 sample units, refer to table V of this section.

² The sample units for the various container size groups are as follows: Groups 1, 2, and 3—1 container and its entire contents. Groups 4 and 5—approximately 3 pounds of product. When determined by the inspector that a 3-pound sample unit is inadequate, a larger sample unit or 1 or more containers and their entire contents may be substituted for 1 or more sample units of 3 pounds.

TABLE III—CANNED, FROZEN, OR OTHERWISE PROCESSED FISHERY AND RELATED PRODUCTS, AND PRODUCTS THEREOF OF A COMMINUTED, FLUID, OR HOMOGENEOUS STATE

| Container size group ¹ | Lot size (number of containers) | | | | | | | | |
|--|---------------------------------|--------------|---------------|----------------|-----------------|-----------------|-----------------|-----------------|--------------|
| GROUP 1 Any type of container of 12 ounces or less | 5,400 or less | 5,401-21,600 | 21,601-62,400 | 62,401-112,000 | 112,001-174,000 | 174,001-240,000 | 240,001-360,000 | 360,001-480,000 | Over 480,000 |
| GROUP 2 Any type of container over 12 ounces but not over 60 ounces | 3,600 or less | 3,601-14,400 | 14,401-48,000 | 48,001-96,000 | 96,001-156,000 | 156,001-228,000 | 228,001-300,000 | 300,001-420,000 | Over 420,000 |
| GROUP 3 Any type of container over 60 ounces but not over 160 ounces .. | 1,800 or less | 1,801-8,400 | 8,401-18,000 | 18,001-60,000 | 36,001-60,000 | 60,001-96,000 | 96,001-132,000 | 132,001-168,000 | Over 168,000 |
| GROUP 4 Any type of container over 160 ounces but not over 10 gallons or 100 pounds whichever is applicable | 200 or less | 201-800 | 801-1,600 | 1,601-3,200 | 3,201-8,000 | 8,001-16,000 | 16,001-24,000 | 24,001-32,000 | Over 32,000 |

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TABLE III—CANNED, FROZEN, OR OTHERWISE PROCESSED FISHERY AND RELATED PRODUCTS, AND PRODUCTS THEREOF OF A COMMINUTED, FLUID, OR HOMOGENEOUS STATE—Continued

| Container size group ¹ | Lot size (number of containers) | | | | | |
|--|---|-------|--------|---------|---------|-----------|
| | Sample size (number of sample units) ³ | 3 | 6 | 13 | 21 | 29 |
| GROUP 5 Any type of container over 10 gallons or 100 pounds whichever is applicable | 25 or less | 26–80 | 81–200 | 201–400 | 401–800 | 801–1,200 |
| | | | | | | |
| Single sampling plans ² | | | | | | |
| Acceptance number | 0 | 1 | 2 | 3 | 4 | 5 |
| | | | | | | |
| Sample size (number of sample units) ³ | 3 | 6 | 13 | 21 | 29 | 38 |
| Acceptance number | 0 | 1 | 2 | 3 | 4 | 5 |
| | | | | | | |

¹ Ounces pertain to either fluid ounces of volume or avoirdupois ounces of net weight whichever is applicable for the product involved.

² For extension of the single sample sizes beyond 72 sample units, refer to table V of this section; for multiple sampling plans refer to table VI of this section.

³ The sample units for the various container size groups are as follows: Groups 1, 2, and 3—1 container and its entire contents. A smaller sample unit may be substituted in group 3 at the inspector's discretion. Groups 4, 5, and 6—approximately 16 ounces of product. When determined by the inspector that a 16-ounce sample unit is inadequate, a larger sample unit may be substituted.

TABLE IV—DEHYDRATED FISHERY AND RELATED PRODUCTS

| Container size group | Lot size (number of containers) | | | | | |
|--|---|-------------|--------------|---------------|---------------|---------------|
| | Sample size (number of sample units) ³ | 3 | 6 | 13 | 21 | 29 |
| GROUP 1 Any type of container of 1 pound or less net weight | 1,800 or less | 1,801–8,400 | 8,401–18,000 | 18,001–36,000 | 36,001–60,000 | 60,001–96,000 |
| GROUP 2 Any type of container over 1 pound but not over 6 pounds net weight | 900 or less | 901–3,600 | 3,601–10,800 | 10,801–18,000 | 18,001–36,000 | 36,001–60,000 |
| GROUP 3 Any type of container over 6 pounds but not over 20 pounds net weight | 200 or less | 201–800 | 801–1,600 | 1,601–3,200 | 3,201–8,000 | 8,001–16,000 |
| | | | | | | |
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|--|------------|--------|-----------|-------------|-------------|-------------|-------------|--------------|-------------|
| GROUP 4 Any type of container over 20 pounds but not over 100 pounds net weight .. | 48 or less | 49-400 | 401-1,200 | 1,201-2,000 | 2,001-2,800 | 2,801-6,000 | 6,001-9,600 | 9,601-15,000 | Over 15,000 |
| GROUP 5 Any type of container over 100 pounds net weight .. | 16 or less | 17-80 | 81-200 | 201-400 | 401-800 | 801-1,200 | 1,201-2,000 | 2,001-3,200 | Over 3,200 |

Single sampling plans¹

| Sample size (number of sample units) ² ... | 3 | 6 | 13 | 21 | 29 | 38 | 48 | 60 | 72 | | | | | | | | | | |
|---|---|---|----|----|----|----|----|----|----|---|--|--|--|--|--|--|--|--|--|
| Acceptance number ... | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | | | | | | | | | | |
| TABLE V—SINGLE SAMPLING PLANS FOR USE IN INCREASING SAMPLE SIZE BEYOND 72 SAMPLE UNITS | | | | | | | | | | | | | | | | | | | |
| Sample size, <i>n</i> Acceptance numbers, <i>c</i> | | | | | | | | | | | | | | | | | | | |
| 84 96 108 120 132 144 156 168 180 192 204 216 230 244 258 272 286 300 314 328 342 356 370 384 400 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 | | | | | | | | | | | | | | | | | | | |
| MULTIPLE SAMPLING PLANS¹, P0,6/7 | | | | | | | | | | TABLE VI—MULTIPLE SAMPLING PLANS COMPARABLE TO THE INDICATED SINGLE SAMPLING PLANS | | | | | | | | | |
| Indicated single sampling plan: Single sample size, <i>n</i> | | | | | | | | | | Acceptance numbers, <i>c</i> | | | | | | | | | |
| Cumulative sample sizes, <i>n_c</i> , and acceptance numbers, <i>r</i> , for multiple sampling. | | | | | | | | | | Cumulative sample sizes, <i>n_c</i> , and acceptance numbers, <i>r</i> , for multiple sampling. | | | | | | | | | |
| 4 0 2 8 0 3 10 0 3 12 0 4 14 0 4 16 0 4 18 0 5 22 0 5 6 0 2 10 0 3 14 1 4 16 0 4 20 0 5 24 1 5 28 1 6 32 1 7 8 1 2 12 1 3 18 1 4 20 1 5 26 1 6 32 2 6 38 2 7 42 2 8 14 2 14 2 3 22 2 5 24 2 5 32 2 6 40 3 8 48 3 8 52 3 9 | | | | | | | | | | Acceptance numbers, <i>c</i> | | | | | | | | | |
| 6 13 21 29 38 48 60 60 72 | | | | | | | | | | 3 4 5 6 7 8 | | | | | | | | | |
| 36 5 6 | | | | | | | | | | 36 5 6 | | | | | | | | | |

¹ These multiple sampling plans may be used in lieu of the single sampling plans listed at the heading of each column.